

Regulatory Compliance

China's legal and regulatory landscapes are evolving rapidly, against a backdrop of significant changes in international politics and economies over the years. Ensuring compliance today requires not only the practical understanding of an increasingly complex myriad of laws and rules locally and internationally-sanction and export control, antitrust, white-collar crime, anti-corruption and the Foreign Corrupt Practices Act (FCPA), tax, trade, customs, foreign exchange, and quality control requirements-but also meticulous measurement of the conflict of the same between different countries and jurisdictions. This heightened standard poses an unprecedented challenge to corporate compliance. In other words, compliance is at the core of a company's competitive advantages in such a "era of change of the century."

Yuanda's compliance practice is underpinned by the legal excellence and diverse backgrounds of its attorneys, including former prosecutors with extensive trial experience, a former diplomat well-versed in foreign affairs, contributors to local regulations and enforcement guidelines, and corporate counsels. This consolidated knowledge and firsthand experience in laws and regulations helps clients minimize potential legal, reputational and public relations risks while conducting business effectively to the greatest extent.

We represent clients to communicate and negotiate directly with regulatory authorities, which helps ensure compliance and mitigate penalties if complex problems arise. We also advise on the rapid changes in the international regulatory landscape and their impact on and challenges to a company's cross-border operations, providing practical analysis and comprehensive solutions in regulatory compliance matters involving multiple jurisdictions.

In recognition of the team's excellence, Yuanda has been a Tier-1 firm in "Regulatory/compliance (PRC Firms)" ranked by *The Legal 500 Asia Pacific* for three consecutive years since 2020, as well as a winner of "Compliance Law Firm of the Year" by *LegalBand* for two consecutive years since 2021. In 2017 and 2018, Yuanda was named "Regulatory & Compliance Law Firm of the Year" by The China Law & Practice Awards, hosted by *The American Lawyer, China Law & Practice*

and *The Asian Lawyer*.

Compliance Programs

Effective compliance programs help companies promote integrity and protect hard-won corporate and personal reputations, detect and respond to potential breaches, reduce the likelihood of regulatory and law-enforcement investigations, and minimize the costs and penalties in case of failures. We work closely with boards of directors, general counsels, and chief compliance officers to better understand their business needs and to build effective compliance programs tailored to a company's risk profile, timetable, and budget.

Yuanda lawyers have firsthand experience across many industries and jurisdictions, regularly assisting clients in developing and evaluating compliance policies and frameworks, conducting compliance checkups and audits, as well as third-party due diligence, handling allegations of misconduct, and providing training to ensure buy-in and accountability at every level of an organization. When compliance challenges do arise, we mount an immediate response before regulatory agencies and officials and, if necessary, in court.

The urge on corporates for enhanced internal control and improved corporate governance comes from many fronts: regulatory authorities and law enforcement, investors, vendors, customers, and even the public at large. We help clients navigate and balance the competing demands of these stakeholders and implement internal governance and compliance programs that minimize potential liability and affirm the value of corporate social responsibility. Perhaps more importantly, effective compliance programs help business leaders gain a deeper insight into their companies' operations, which facilitates more effective decision-making.

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